Inside The OpenEdge RDBMS: After-Image Records and Their Uses

research from the parmington foundation

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PUG **CHALLENGE AMERICAS**

Burlington, MA, USA 12 – 15 nov 2023 Please interrupt if you have a question.

Sometimes we think that we are making sense when actually we are not.



who uses after-imaging ?



After-image journaling to the rescue!

Two transaction logs



Two transaction logs



transaction log records (aka "notes")



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- generated for <u>every</u> change to database.
- each describes exactly one change to one database block.
 - almost there are log records that describe changes to purely memory-resident data structures like the transaction table
- apply only to specific version number of block
- some operations require more than one change
 - index splits, multi-block records
- written in same order changes are executed.
- notes from concurrent transactions are mixed together.



Undo-Redo (aka Before-Image) Log Records Contain

- transaction number
- data area number
- database <u>block number</u> (its dbkey)
- database block's version number
- note type specifies what <u>operation</u> to perform

and . . .



Undo-Redo (aka Before-Image) Log Records Contain

- any information needed to <u>undo</u> the operation
 - in case we have to roll back
- any information needed to <u>redo</u> the operation
 - in case we lose the result before writing to disk
- any information needed to <u>replicate</u> the operation
 - duplicate the database at another server
 - no note, no replica



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- data area number
- database <u>block number</u> (its dbkey)
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and . . .



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 - duplicate the database at another server
 - no note, no replica



Did you notice anything about those two kinds of notes (bi and ai) ????

The So-Called "Before-Image" File Is a lie.

- Does not contain "before images"
- It has a record of all recent database changes
- The data are whatever is needed to:
 - Undo or roll back transactions
 - Perform crash recovery
- What is needed depends on the specific operation
 - Row create "after" row contents "before" was nothing
 - Row delete has *current* row contents

- Does not contain "after images"
- It has a record of all database changes after a full backup
 - call this point "time 0"
- The data are sufficient to:
 - Recover or recreate everything that happened since time 0
 - Recover or recreate the before-image log
 - Undo or roll back transactions
 - Perform crash recovery
 - Replicate the database in real-time (or later)



- What if someone unplugs server to plug in vacuum cleaner?
- What if we want to undo (roll back) a typical transaction?
- What if we make several more changes and only one block of a fragmented record chain is written to disk to make room in the buffer pool ?
- What if DBA deletes entire database?

- What if someone unplugs server to plug in vacuum cleaner?
 - the change will be lost
- What if we want to undo (rollback) ?
 - we don't know the old value or how to undo
- What if we make several more changes and only one block of a fragmented record chain is written to disk to make room in the buffer pool ?
 - the database will be corrupted
- What if our ship runs aground and falls over
 - the database will disappear completely

these are all bad things (tm)



We know what's in them.

Scanning the After-Image Journals

What can we do with that information?

Questions

- When was an 'evil' transaction started? Then i know how far to Roll Forward
- What transactions were active during an online backup? those would be reversed if the backup was restored
- What transactions were active during a quiet point? those would be reversed if the backup was restored
- What userid is causing the BI file to grow? and why?
- Is my database code crappy? Yes !
- Why did lock table overflow?
 Who caused it?

Prerequisites:

- After Imaging is enabled
- Use the "verbose" option to get exquisite detail
- aimage scan verbose is VERY verbose.
 you might use up all of your disk space and cause a disaster
- Prior to V10.1A the transaction index number (shown as *Trid* in the output) rolls over at 32767 in the report



```
echo a foo.al >addai.st
prostrct add foo addai.st
rfutil foo -C mark
rfutil foo -C aimage begin
....change stuff in database
rfutil bar -C aimage scan verbose \
        -a foo.al \
        > aireport.txt
```

NOTE: cannot do this with live ai extents, only archived ones or copies dbname can't be "foo"



After-image dates for this database: (1632)
Last AIMAGE BEGIN Thu Sep 7 14:28:36 2023 (1640)
This is aimage file number 1 since the last AIMAGE BEGIN. (1642)
This file was last opened for output on Thu Sep 7 14:28:36
2023.
After-image dates for this after-image file: (1633)
Last AIMAGE BEGIN Thu Sep 7 14:28:36 2023 (1640)
This is aimage file number 1 since the last AIMAGE BEGIN. (1642)
This file was last opened for output on Thu Sep 7 14:28:36
2023.

```
Trid: 0 code = RL_INMEM version = 3 (12528)
Trid: 0 dbkey = 0 update counter = 0 (12530)
Trid: 0 code = RL_LSTMOD version = 2 (12528)
Trid: 0 area = 6 dbkey = 32 update counter = 13 (12529)
2 notes were processed. (1634)
0 in-flight transactions. (3785)
0 transactions were started. (1635)
0 transactions were completed. (11138)
At the end of the .ai file, 0 transactions were still active. (1636)
```

Туре	Meaning	Туре	Meaning
RL_TBGN	Begin transaction	RL_SEINC	Next sequence value
RL_INMEM	Current transaction table	RL_CXINS	Insert index entry
RL_LSTMOD	Set database modified time	RL_CXREM	Remove index entry
RL_RMCR	Create record or fragment	RL_BKHWM	Set high water mark
RL_RMDEL	Delete record or fragment	BK_REPL	Byte string replace
RL_RMCHG	Change record's contents	RL_TEND	End transaction

(-)

Now, let's examine some 4GL codes and the notes they produce

a few are from George Potemkin who gave us the idea for this Спасибо !

```
prodb s2000 sports2000
echo a s2000.al >addai.st
prostrct add s2000 addai.st
#
i=0
while [ ${i} -le 16 ]
do
    echo "doing transaction ${i}"
    probkup s2000 /dev/null
    rfutil s2000 -C aimage begin
    pro s2000 -p t${i}.p
    rfutil s2000 -a s2000.a1 -C aimage scan verbose >scan${i}.txt
    rfutil s2000 -C aimage end
    i=`expr ${i} + 1`
done
```



first, we play with the customer table

example 0

DO TRANSACTION: FIND Customer 1 NO-LOCK NO-ERROR. END.



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example 1

DO TRANSACTION: FIND Customer 1 EXCLUSIVE-LOCK NO-ERROR. END.



example 2

DO TRANSACTION: CREATE Customer. DELETE Customer. END.



```
Trid: 27956 Thu Sep 7 14:28:36 2023. (2598)
Trid: 27956 User Id: gus (12531)
Trid: 27956 \text{ code} = \text{RL} \text{TBGN version} = 1 (12528)
Trid: 27956 dbkey = 0 update counter = 0 (12530)
Trid: 27956 code = RL SEINC version = 1 (12528)
Trid: 27956 \text{ area} = 6 \text{ dbkey} = 96 \text{ update counter} = 27 (12529)
Trid: 27956 code = RL TMSAVE version = 4 (12528)
Trid: 27956 dbkey = 0 update counter = 0 (12530)
Trid: 27956 code = RL RMCR version = 3 (12528)
Trid: 27956 area = 9 dbkey = 1344 update counter = 22 (12529)
Trid: 27956 \text{ code} = \text{RL} \text{ CXINS version} = 2 (12528)
Trid: 27956 \text{ area} = 10 \text{ dbkey} = 640 \text{ update counter} = 220 (12529)
Trid: 27956 code = RL CXREM version = 2 (12528)
Trid: 27956 area = 10 dbkey = 640 update counter = 221 (12529)
Trid: 27956 code = RL_RMDEL version = 3 (12528)
Trid: 27956 area = 9 dbkey = 1344 update counter = 23 (12529)
Trid: 27956 code = RL_RMCR version = 3 (12528) \langle
Trid: 27956 area = 9 dbkey = 1344 update counter = 24 (12529)
Trid: 27956 Thu Sep 7 14:28:36 2023. (2598)
Trid: 27956 code = RL TEND version = 1 (12528)
Trid: 27956 \text{ dbkev} = 0 \text{ update counter} = 0 (12530)
```

DISABLE TRIGGERS FOR LOAD OF Customer.

DO TRANSACTION: CREATE Customer. DELETE Customer. END.


DEF VAR N AS INT NO-UNDO.

```
DISABLE TRIGGERS FOR LOAD OF Customer.
```

DO TRANSACTION: CREATE Customer. N = RECID(Customer). DELETE Customer. END.



```
Trid: 27958 Thu Sep 7 14:28:36 2023. (2598)
Trid: 27958 User Id: gus (12531)
Trid: 27958 code = RL TBGN version = 1(12528)
Trid: 27958 dbkey = 0 update counter = 0 (12530)
Trid: 27958 code = RL RMDEL version = 3 (12528) <
Trid: 27958 area = 9 dbkey = 1344 update counter = 25 (12529)
Trid: 27958 code = RL RMCR version = 3 (12528)
Trid: 27958 area = 9 dbkey = 1344 update counter = 26 (12529)
Trid: 27958 code = RL RMDEL version = 3(12528)
Trid: 27958 area = 9 dbkey = 1344 update counter = 27 (12529)
Trid: 27958 \text{ code} = \text{RL} \text{ RMCR version} = 3 (12528)
Trid: 27958 area = 9 dbkey = 1344 update counter = 28 (12529)
Trid: 27958 Thu Sep 7 14:28:36 2023. (2598)
Trid: 27958 code = RL TEND version = 1 (12528)
Trid: 27958 dbkey = 0 update counter = 0 (12530)
```

next, we do stuff with the order table

```
create order.
assign
  custnum = 1
  orderdate = today
  ordernum = time
  shipdate = today + 1
```



Trid: 27959 Thu Sep 7 14:28:36 2023. (2598) Trid: 27959 User Id: gus (12531) Trid: 27959 code = RL TBGN version = 1 (12528)Trid: 27959 dbkey = 0 update counter = 0 (12530)Trid: 27959 code = RL SEINC version = 1 (12528) Trid: 27959 area = 6 dbkey = 96 update counter = 28 (12529) Trid: 27959 code = RL TMSAVE version = 4 (12528) Trid: 27959 dbkey = 0 update counter = 0 (12530) Trid: 27959 code = RL RMCR version = 3 (12528)Trid: 27959 area = 11 dbkey = 20544 update counter = 14 (12529) Trid: 27959 code = RL CXINS version = 2 (12528)Trid: 27959 area = 11 dbkey = 4768 update counter = 354 (12529)

part 1 ...

Trid: 27959 code = RL CXREM version = 2 (12528) Trid: 27959 area = 11 dbkey = 4768 update counter = 355 (12529) Trid: 27959 code = RL CXINS version = 2 (12528)Trid: 27959 area = 11 dbkey = 5024 update counter = 116 (12529) Trid: 27959 code = RL CXINS version = 2 (12528)Trid: 27959 area = 11 dbkey = 4768 update counter = 356 (12529)Trid: 27959 code = RL_CXINS version = 2 (12528) Trid: 27959 area = 11 dbkey = 3104 update counter = 474 (12529) Trid: 27959 code = RL_CXINS version = 2 (12528) Trid: 27959 area = 11 dbkey = 384 update counter = 3956 (12529) Trid: 27959 code = RL CXINS version = 2 (12528)Trid: 27959 area = 11 dbkey = 4704 update counter = 215 (12529) Trid: 27959 code = RL RMCHG version = 3 (12528)Trid: 27959 area = 11 dbkey = 20544 update counter = 15 (12529) Trid: 27959 Thu Sep 7 14:28:36 2023. (2598) Trid: 27959 code = RL TEND version = 1 (12528) Trid: 27959 dbkey = 0 update counter = 0 (12530)

part 2

•

disable triggers for load of order.

```
create order.
assign
  custnum = 1
  orderdate = today
  ordernum = time
  shipdate = today + 1
```



```
Trid: 27960 \text{ code} = \text{RL} \text{TBGN version} = 1 (12528)
Trid: 27960 dbkey = 0 update counter = 0 (12530)
Trid: 27960 code = RL RMCR version = 3 (12528)
Trid: 27960 area = 11 dbkey = 20544 update counter = 16 (12529)
Trid: 27960 \text{ code} = \text{RL} \text{ CXINS version} = 2 (12528)
Trid: 27960 area = 11 dbkey = 5024 update counter = 117 (12529)
Trid: 27960 code = RL CXINS version = 2 (12528)
Trid: 27960 area = 11 dbkey = 4768 update counter = 357 (12529)
Trid: 27960 code = RL CXINS version = 2 (12528)
Trid: 27960 area = 11 dbkey = 3104 update counter = 475 (12529)
Trid: 27960 \text{ code} = \text{RL} \text{ CXINS version} = 2 (12528)
Trid: 27960 area = 11 dbkey = 384 update counter = 3957 (12529)
Trid: 27960 \text{ code} = \text{RL} \text{ CXINS version} = 2 (12528)
Trid: 27960 area = 11 dbkey = 4704 update counter = 216 (12529)
Trid: 27960 Thu Sep 7 14:28:36 2023. (2598)
Trid: 27960 code = RL TEND version = 1 (12528)
Trid: 27960 dbkey = 0 update counter = 0 (12530)
```

disable triggers for load of order.

```
create order.
assign
  custnum = 1
  orderdate = today
  ordernum = time.
  shipdate = today + 1
.
```



Trid: 27961 code = RL RMCR version = 3 (12528) Trid: 27961 area = 11 dbkey = 20544 update counter = 17 (12529) Trid: 27961 code = RL CXINS version = 2 (12528)Trid: 27961 area = 11 dbkey = 864 update counter = 68 (12529) Trid: 27961 code = RL CXINS version = 2 (12528) Trid: 27961 area = 11 dbkey = 4768 update counter = 358 (12529) Trid: 27961 code = RL CXINS version = 2 (12528)Trid: 27961 area = 11 dbkey = 3104 update counter = 476 (12529) Trid: 27961 code = RL CXINS version = 2 (12528) Trid: 27961 area = 11 dbkey = 384 update counter = 3958 (12529) Trid: 27961 code = RL CXINS version = 2 (12528)Trid: 27961 area = 11 dbkey = 4704 update counter = 217 (12529) Trid: 27961 code = RL RMCHG version = 3 (12528)Trid: 27961 area = 11 dbkey = 20544 update counter = 18 (12529)

```
find order 1.
information = fill("abc", 6000).
```



```
Trid: 27962 \text{ code} = \text{RL} \text{ RMDEL version} = 3 (12528)
Trid: 27962 area = 11 dbkey = 96 update counter = 39 (12529)
Trid: 27962 code = RL RMCR version = 3 (12528)
Trid: 27962 area = 11 dbkey = 96 update counter = 40 (12529)
Trid: 27962 \text{ code} = \text{RL} \text{ RMCR version} = 3 (12528)
Trid: 27962 area = 11 dbkey = 20544 update counter = 19 (12529)
Trid: 27962 code = RL_BKFRM version = 2 (12528)
Trid: 27962 area = 11 dbkey = 64 update counter = 1767 (12529)
Trid: 27962 \text{ code} = \text{RL}_\text{BKFRB} \text{ version} = 2 (12528)
Trid: 27962 area = 11 dbkey = 20544 update counter = 20 (12529)
Trid: 27962 \text{ code} = \text{RL} BKMAKE version = 1 (12528)
Trid: 27962 area = 11 dbkey = 20576 update counter = 0 (12529)
Trid: 27962 code = RL BKHWM version = 2 (12528)
Trid: 27962 area = 11 dbkey = 64 update counter = 1768 (12529)
```

Trid: 27962 code = RL RMCR version = 3 (12528) Trid: 27962 area = 11 dbkey = 20576 update counter = 1 (12529) Trid: 27962 code = RL BKMAKE version = 1 (12528) Trid: 27962 area = 11 dbkey = 20608 update counter = 0 (12529) Trid: 27962 code = RL BKHWM version = 2 (12528) Trid: 27962 area = 11 dbkey = 64 update counter = 1769 (12529) Trid: 27962 code = RL_RMCR version = 3 (12528) Trid: 27962 area = 11 dbkey = 20608 update counter = 1 (12529) Trid: 27962 code = RL BKMAKE version = 1 (12528) Trid: 27962 area = 11 dbkey = 20640 update counter = 0 (12529) Trid: 27962 code = RL BKHWM version = 2 (12528) Trid: 27962 area = 11 dbkey = 64 update counter = 1770 (12529) Trid: 27962 code = RL RMCR version = 3 (12528) Trid: 27962 area = 11 dbkey = 20640 update counter = 1 (12529)

```
Trid: 27962 \text{ code} = \text{RL} BKMAKE version = 1 (12528)
Trid: 27962 area = 11 dbkey = 20672 update counter = 0 (12529)
Trid: 27962 code = RL BKHWM version = 2 (12528)
Trid: 27962 area = 11 dbkey = 64 update counter = 1771 (12529)
Trid: 27962 \text{ code} = \text{RL} \text{ RMCR version} = 3 (12528)
Trid: 27962 area = 11 dbkey = 20672 update counter = 1 (12529)
Trid: 27962 \text{ code} = \text{RL} BKFAB version = 1 (12528)
Trid: 27962 area = 11 dbkey = 20672 update counter = 2 (12529)
Trid: 27962 \text{ code} = \text{RL} BKFAM version = 2 (12528)
Trid: 27962 area = 11 dbkey = 64 update counter = 1772 (12529)
Trid: 27962 code = RL RMNXTF version = 2 (12528)
Trid: 27962 area = 11 dbkey = 20640 update counter = 2 (12529)
Trid: 27962 \text{ code} = \text{RL} \text{ RMNXTF version} = 2 (12528)
Trid: 27962 area = 11 dbkey = 20608 update counter = 2 (12529)
Trid: 27962 \text{ code} = \text{RL} \text{ RMNXTF version} = 2 (12528)
Trid: 27962 area = 11 dbkey = 20576 update counter = 2 (12529)
Trid: 27962 \text{ code} = \text{RL} \text{ RMNXTF version} = 2 (12528)
Trid: 27962 area = 11 dbkey = 20544 update counter = 21 (12529)
```

now for some other stuff

```
DO TRANSACTION:
CREATE _View.
DELETE _View.
END.
```



```
Trid: 27963 Thu Sep 7 14:28:37 2023. (2598)
Trid: 27963 User Id: gus (12531)
Trid: 27963 code = RL TBGN version = 1 (12528)
Trid: 27963 \text{ dbkey} = 0 \text{ update counter} = 0 (12530)
Trid: 27963 code = RL_RMCR version = 3 (12528)
Trid: 27963 area = 6 dbkey = 14144 update counter = 7 (12529)
Trid: 27963 code = RL_RMDEL version = 3 (12528)
Trid: 27963 area = 6 dbkey = 14144 update counter = 8 (12529)
Trid: 27963 code = RL_RMCR version = 3 (12528)
Trid: 27963 area = 6 dbkey = 14144 update counter = 9 (12529)
Trid: 27963 Thu Sep 7 14:28:37 2023. (2598)
Trid: 27963 code = RL TEND version = 1 (12528)
Trid: 27963 \text{ dbkey} = 0 \text{ update counter} = 0 (12530)
```

DEF VAR I AS INT.

DISABLE TRIGGERS FOR LOAD OF Customer.

```
DO TRANSACTION:

DO i = 1 TO 1:

CREATE Customer.

DELETE Customer.

END.

END.
```



DEF VAR I AS INT.

DISABLE TRIGGERS FOR LOAD OF Customer.

```
DO TRANSACTION:

REPEAT i = 1 TO 1:

CREATE Customer.

DELETE Customer.

END.
```

```
END.
```



Trid: 27965 Thu Sep 7 14:28:37 2023. (2598) Trid: 27965 User Id: gus (12531) Trid: 27965 code = RL TBGN version = 1 (12528) Trid: 27965 dbkey = 0 update counter = 0 (12530)Trid: 27965 code = RL TMSAVE version = 4 (12528)Trid: 27965 dbkey = 0 update counter = 0 (12530)Trid: 27965 Thu Sep 7 14:28:37 2023. (2598) Trid: 27965 code = RL TEND version = 1 (12528) Trid: 27965 dbkey = 0 update counter = 0 (12530)

subtransaction causes transaction to start 0 notes if using -nosavepoint

```
DEF VAR N AS INT NO-UNDO.
```

DO TRANSACTION:

```
N = CURRENT-VALUE(NextCustNum).
END.
```



```
DEF VAR N AS INT NO-UNDO.
```

```
DO TRANSACTION:
   N = NEXT-VALUE(NextCustNum).
END.
```



```
Trid: 27967 Thu Sep 7 14:28:38 2023. (2598)
Trid: 27967 User Id: gus (12531)
Trid: 27967 code = RL TBGN version = 1 (12528)
Trid: 27967 \text{ dbkey} = 0 \text{ update counter} = 0 (12530)
Trid: 27967 code = RL_SEINC version = 1 (12528)
Trid: 27967 \text{ area} = 6 \text{ dbkey} = 96 \text{ update counter} = 29 (12529)
Trid: 27967 Thu Sep 7 14:28:38 2023. (2598)
Trid: 27967 code = RL TEND version = 1 (12528)
Trid: 27967 \text{ dbkey} = 0 \text{ update counter} = 0 (12530)
```



DEF VAR N AS INT NO-UNDO.

```
N = NEXT-VALUE(NextCustNum).
```



```
Trid: 0 code = RL_SEINC version = 1 (12528)
Trid: 0 area = 6 dbkey = 96 update counter = 30 (12529)
```

Why are there no TBGN and TEND notes?

Not all notes need to be "inside" a transaction

- Sequence incrementing
- Extent switches
- Expanding the size of variable extents
- Transaction Begin
- Etc .

```
DEF VAR I AS INT.
DISABLE TRIGGERS FOR LOAD OF Customer.
```

```
DO TRANSACTION:

REPEAT i = 1 TO 1:

CREATE Customer.

UNDO.

END.

END.
```



Trid: 27968 Thu Sep 7 14:28:38 2023. (2598) Trid: 27968 User Id: gus (12531) Trid: 27968 code = RL TBGN version = 1 (12528) Trid: 27968 dbkey = 0 update counter = 0 (12530)Trid: 27968 code = RL TMSAVE version = 4 (12528)Trid: 27968 dbkey = 0 update counter = 0 (12530)Trid: 27968 code = RL TMSAVE version = 4 (12528)Trid: 27968 dbkey = 0 update counter = 0 (12530)Trid: 27968 Thu Sep 7 14:28:38 2023. (2598) Trid: 27968 code = RL TEND version = 1 (12528) Trid: 27968 dbkey = 0 update counter = 0 (12530)

subtransactions cause main transaction to start 0 notes if using -nosavepoint

DISABLE TRIGGERS FOR LOAD OF Customer.

DO TRANSACTION: CREATE Customer. UNDO. END.



DO TRANSACTION: FIND FIRST Customer NO-LOCK WHERE RECID(Customer) EQ 1 NO-ERROR. UNDO. END.



```
do transaction:
    find _myconnect.
    _myconn-numseqbuffers = 3.
end.
```


- When was an 'evil' transaction started?
- What transactions were active during an online backup?
- What transactions were active during a quiet point?
- What userid is causing the BI file to grow? and why?
- Is my database code crappy?
- Why did lock table overflow? Who caused it?
- Why do the AI files grow so fast?



go home and try it !

you'll like it.



Questions

email: gus@parmington.com



Research from the parmington foundation